

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wen-Yen Hwang      §      Group Art Unit: Unassigned  
Application No.: Unassigned      §      Examiner: Unassigned  
Filed: Herewith      §      Confirmation No.: Unassigned      #3  
Title: Alternative Substrates for      §      Atty Docket No. PAT004  
Epitaxial Growth

JG971 U.S. PTO  
09/020072  
03/28/01

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL  
DATE OF DEPOSIT: March 28, 2001

I hereby certify this paper or fee is being deposited with the United States Postal Service as Express Mail Post Office to Addressee, Mailing Label Number ET220251476US on the date indicated above and is addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

*Yvonne R. Simera*  
Yvonne R. Simera

INFORMATION DISCLOSURE STATEMENT

In compliance with the information disclosure statement requirements of 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached references related to the above-identified application.

Respectfully submitted,

Date: 3-28-01

*N. Stephan Kinsella*  
N. Stephan Kinsella  
Registration No. 37,657  
Applied Optoelectronics, Inc.  
13111 Jess Pirtle Blvd.  
Sugar Land, Texas 77478  
Tel: (281) 295-1800  
Fax: (281) 295-1888